

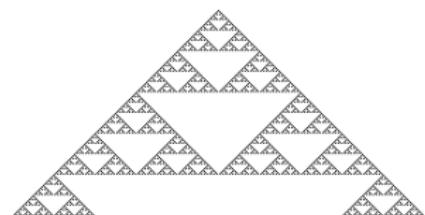
Enumeration of binomial coefficients by their p -adic valuations

Eric Rowland
Hofstra University

Combinatorial and Additive Number Theory
CUNY Graduate Center, 2018–5–25

Odd binomial coefficients

$$\begin{array}{ccccccc} & & 1 & & & & \\ & & 1 & & 1 & & \\ & & 1 & & 2 & & 1 \\ & & 1 & & 3 & & 3 & 1 \\ & & 1 & & 4 & & 6 & 4 & 1 \end{array}$$



Glaisher (1899): How many odd entries are on each row?

$$1, 2, 2, 4, 2, 4, 4, 8, 2, 4, 4, 8, 4, 8, 8, 16, \dots \quad 2^{|n|_1}$$

$|n|_d :=$ number of occurrences of d in the base- p representation of n .

Proof

$\nu_p(n) := \max\{e \geq 0 : p^e \text{ divides } n\}.$

Example: $\nu_2(56) = 3$.

Kummer's theorem

$\nu_p(\binom{n}{m}) = \# \text{ carries involved in adding } m \text{ to } n - m \text{ in base } p.$

Example

$n = 25$. How many m satisfy $\nu_2(\binom{25}{m}) = 0$?

$$n = 25 = 11001_2$$

$$m = **00*_2$$

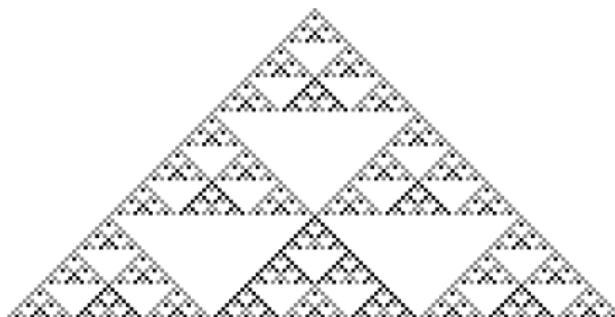
$$2^{|25|_1} = 8.$$

Fine's theorem

Theorem (Fine 1947)

Write $n = n_\ell \cdots n_1 n_0$ in base p . Then

$$\begin{aligned} |\{m : \binom{n}{m} \text{ is not divisible by } p\}| &= (n_0 + 1)(n_1 + 1) \cdots (n_\ell + 1) \\ &= 1^{|n|_0} 2^{|n|_1} 3^{|n|_2} \cdots p^{|n|_{p-1}}. \end{aligned}$$



Number of binomial coefficients not divisible by 3:

$$1, 2, 3, 2, 4, 6, 3, 6, 9, 2, 4, 6, 4, 8, 12, 6, \dots \quad 2^{|n|_1} 3^{|n|_2}$$

Prime powers?

Carlitz found a recurrence involving

$$\theta_{p,\alpha}(n) := \left| \{m : 0 \leq m \leq n \text{ and } \nu_p\left(\binom{n}{m}\right) = \alpha\} \right| \text{ and}$$
$$\psi_{p,\alpha}(n) := \left| \{m : 0 \leq m \leq n \text{ and } \nu_p((m+1)\binom{n}{m}) = \alpha\} \right|.$$

Theorem (Carlitz 1967)

$$\theta_{p,\alpha}(pn + d) = (d+1)\theta_{p,\alpha}(n) + (p-d-1)\psi_{p,\alpha-1}(n-1)$$

$$\psi_{p,\alpha}(pn + d) = \begin{cases} (d+1)\theta_{p,\alpha}(n) + (p-d-1)\psi_{p,\alpha-1}(n-1) & \text{if } 0 \leq d \leq p-2 \\ p\psi_{p,\alpha-1}(n) & \text{if } d = p-1. \end{cases}$$

Is there a formulation that treats digits uniformly?
And looks more like Fine's product?

Generating function

$$T_p(n, x) := \sum_{m=0}^n x^{\nu_p(\binom{n}{m})}$$

The coefficient of x^α is the number of $\binom{n}{m}$ with p -adic valuation α .

$$\begin{array}{llllllllll} n = 8: & 1 & 8 & 28 & 56 & 70 & 56 & 28 & 8 & 1 \\ \nu_2(\binom{8}{m}): & 0 & 3 & 2 & 3 & 1 & 3 & 2 & 3 & 0 \end{array}$$

n	$T_2(n, x)$
0	1
1	2
2	$x + 2$
3	4
4	$2x^2 + x + 2$
5	$2x + 4$
6	$x^2 + 2x + 4$
7	8
8	$4x^3 + 2x^2 + 1x + 2$

Matrix product

Theorem (Rowland 2018)

Write $n = n_\ell \cdots n_1 n_0$ in base p . Then

$$T_p(n, x) := \sum_{m=0}^n x^{\nu_p(\binom{n}{m})} = [1 \ 0] M_p(n_0) M_p(n_1) \cdots M_p(n_\ell) \begin{bmatrix} 1 \\ 0 \end{bmatrix}.$$

$$M_p(d) := \begin{bmatrix} d+1 & p-d-1 \\ dx & (p-d)x \end{bmatrix}$$

Example

$p = 2, n = 8 = 1000_2$:

$$\begin{aligned} T_2(8, x) &= [1 \ 0] M_2(0) M_2(0) M_2(0) M_2(1) \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ &= [1 \ 0] \begin{bmatrix} 1 & 1 \\ 0 & 2x \end{bmatrix}^3 \begin{bmatrix} 2 & 0 \\ x & x \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ &= 4x^3 + 2x^2 + x + 2. \end{aligned}$$

Comparison of recurrences

Carlitz recurrence:

$$\theta_{p,\alpha}(pn+d) = (d+1)\theta_{p,\alpha}(n) + (p-d-1)\psi_{p,\alpha-1}(n-1)$$

$$\psi_{p,\alpha}(pn+d) = \begin{cases} (d+1)\theta_{p,\alpha}(n) + (p-d-1)\psi_{p,\alpha-1}(n-1) & \text{if } 0 \leq d \leq p-2 \\ p\psi_{p,\alpha-1}(n) & \text{if } d = p-1. \end{cases}$$

Carlitz has $\psi_{p,\alpha}(pn+d)$ on the left but $\psi_{p,\alpha-1}(n-1)$ on the right.

Recurrence leading to matrix product:

$$\theta_{p,\alpha}(pn+d) = (d+1)\theta_{p,\alpha}(n) + (p-d-1)\psi_{p,\alpha-1}(n-1)$$

$$\psi_{p,\alpha}(pn+d-1) = d\theta_{p,\alpha}(n) + (p-d)\psi_{p,\alpha-1}(n-1).$$

$$M_p(d) = \begin{bmatrix} d+1 & p-d-1 \\ dx & (p-d)x \end{bmatrix}$$

k -regularity

Definition (Allouche–Shallit 1992)

Let $k \geq 2$.

A sequence $s(n)_{n \geq 0}$ is **k -regular** if

$$\{s(k^e n + i)_{n \geq 0} : e \geq 0 \text{ and } 0 \leq i \leq k^e - 1\}$$

is contained in a finite-dimensional vector space.

Compare to:

Definition

$s(n)_{n \geq 0}$ is **constant-recursive** if $\{s(n+i)_{n \geq 0} : i \geq 0\}$ is contained in a finite-dimensional vector space. Equivalently:

- $s(n)$ satisfies a linear recurrence involving $s(n+i)$
- $s(n) = u M^n v$ for some matrix M and vectors u, v
- the generating function $\sum_{n \geq 0} s(n)x^n$ is rational

Guessing a constant-recursive sequence

$\langle \{s(n+i)_{n \geq 0} : i \geq 0\} \rangle$ is finite-dimensional.

$s(n) = 2^n + n$:

$s(n): 1, 3, 6, 11, 20, 37, \dots$ basis element!

$s(n+1): 3, 6, 11, 20, 37, 70, \dots$ basis element!

$s(n+2): 6, 11, 20, 37, 70, 135, \dots$ basis element!

$s(n+3): 11, 20, 37, 70, 135, 264, \dots = 2s(n) - 5s(n+1) + 4s(n+2)$

Matrix form:

$$\begin{bmatrix} s(n+1) \\ s(n+2) \\ s(n+3) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 2 & -5 & 4 \end{bmatrix} \begin{bmatrix} s(n) \\ s(n+1) \\ s(n+2) \end{bmatrix}$$

Guessing a 2-regular sequence

$$s(n) = |\{m : \nu_2(\binom{n}{m}) = 1\}|$$

$$s(n) : 0, 0, 1, 0, 1, 2, 2, 0, \dots \quad \text{basis element!}$$

$$s(2n+0) : 0, 1, 1, 2, 1, 4, 2, 4, \dots \quad \text{basis element!}$$

$$s(2n+1) : 0, 0, 2, 0, 2, 4, 4, 0, \dots = 2s(n)$$

$$s(4n+0) : 0, 1, 1, 2, 1, 4, 2, 4, \dots = s(2n)$$

$$s(4n+2) : 1, 2, 4, 4, 4, 8, 8, 8, \dots \quad \text{basis element!}$$

$$s(8n+2) : 1, 4, 4, 8, 4, 12, 8, 16, \dots = -2s(n) + 2s(2n) + s(4n+2)$$

$$s(8n+6) : 2, 4, 8, 8, 8, 16, 16, 16, \dots = 2s(4n+2)$$

Matrix form:

$$\begin{bmatrix} s(2n) \\ s(4n) \\ s(8n+2) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 1 & 0 \\ -2 & 2 & 1 \end{bmatrix} \begin{bmatrix} s(n) \\ s(2n) \\ s(4n+2) \end{bmatrix} = \color{red}M(0) \begin{bmatrix} s(n) \\ s(2n) \\ s(4n+2) \end{bmatrix}$$

$$\begin{bmatrix} s(2n+1) \\ s(4n+2) \\ s(8n+6) \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 2 \end{bmatrix} \begin{bmatrix} s(n) \\ s(2n) \\ s(4n+2) \end{bmatrix} = \color{red}M(1) \begin{bmatrix} s(n) \\ s(2n) \\ s(4n+2) \end{bmatrix}$$

An implementation in *Mathematica*

IntegerSequences is available from

https://people.hofstra.edu/Eric_Rowland/packages.html

```
In[1]:= Import["https://people.hofstra.edu/Eric_Rowland/packages/IntegerSequences.m"]  
  
In[2]:= Table[Count[Table[IntegerExponent[Binomial[n, m], 2], {m, 0, n}], 1], {n, 0, 31}]  
  
Out[2]= {0, 0, 1, 0, 1, 2, 2, 0, 1, 2, 4, 4, 2, 4, 4, 0, 1, 2, 4, 4, 4, 8, 8, 8, 2, 4, 8, 8, 4, 8, 8, 0}  
  
In[3]:= FindRegularSequenceFunction[%, 2] // RegularSequenceMatrixForm  
  
Out[3]= RegularSequence[{1, 0, 0}, { $\begin{pmatrix} 0 & 1 & 0 \\ 0 & 1 & 0 \\ -2 & 2 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 2 \end{pmatrix}$ }, {0, 0, 1}]
```

Write $n = n_\ell \cdots n_1 n_0$ in base 2; then

$$s(n) = [1 \ 0 \ 0] M(n_0) M(n_1) \cdots M(n_\ell) \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \text{ (conjecturally).}$$

The matrices $M(0)$ and $M(1)$ aren't unique.

Try many changes of bases. Positive entries permit a bijective proof.

Multinomial coefficients

For a k -tuple $\mathbf{m} = (m_1, m_2, \dots, m_k)$, define

$$\text{total } \mathbf{m} := m_1 + m_2 + \cdots + m_k$$

and

$$\text{mult } \mathbf{m} := \frac{(\text{total } \mathbf{m})!}{m_1! m_2! \cdots m_k!}.$$

Theorem (Rowland 2018)

Let $k \geq 1$, and let $e = [1 \ 0 \ 0 \ \cdots \ 0] \in \mathbb{Z}^k$.

Write $n = n_\ell \cdots n_1 n_0$ in base p . Then

$$\sum_{\substack{\mathbf{m} \in \mathbb{N}^k \\ \text{total } \mathbf{m} = n}} x^{\nu_p(\text{mult } \mathbf{m})} = e M_{p,k}(n_0) M_{p,k}(n_1) \cdots M_{p,k}(n_\ell) e^\top.$$

$M_{p,k}(d)$ is a $k \times k$ matrix ...

Multinomial coefficients

Example

Let $p = 5$ and $k = 3$; the matrices $M_{5,3}(0), \dots, M_{5,3}(4)$ are

$$\begin{bmatrix} 1 & 18 & 6 \\ 0 & 15x & 10x \\ 0 & 10x^2 & 15x^2 \end{bmatrix}, \quad \begin{bmatrix} 3 & 19 & 3 \\ x & 18x & 6x \\ 0 & 15x^2 & 10x^2 \end{bmatrix}, \quad \begin{bmatrix} 6 & 18 & 1 \\ 3x & 19x & 3x \\ x^2 & 18x^2 & 6x^2 \end{bmatrix},$$
$$\begin{bmatrix} 10 & 15 & 0 \\ 6x & 18x & x \\ 3x^2 & 19x^2 & 3x^2 \end{bmatrix}, \quad \begin{bmatrix} 15 & 10 & 0 \\ 10x & 15x & 0 \\ 6x^2 & 18x^2 & x^2 \end{bmatrix}.$$

Let $c_{p,k}(n) = |\{\mathbf{d} \in \{0, \dots, p-1\}^k : \text{total } \mathbf{d} = n\}|$. $p = 5$:

$k = 0:$													1
$k = 1:$													1
$k = 2:$			1	2	3	4	5	4	3	2	1		
$k = 3:$	1	3	6	10	15	18	19	18	15	10	6	3	1

$M_{p,k}(\mathbf{d})$ is the $k \times k$ matrix with entries $c_{p,k}(p(j-1) + d - (i-1)) x^{i-1}$.

Sketch of proof

Kummer's theorem for multinomial coefficients

Let p be a prime, and let $\mathbf{m} \in \mathbb{N}^k$ for some $k \geq 0$. Then

$$\nu_p(\text{mult } \mathbf{m}) = \frac{\text{total } \sigma_p(\mathbf{m}) - \sigma_p(\text{total } \mathbf{m})}{p - 1}.$$

Lemma

Let $k \geq 1$.

Let $0 \leq i \leq k - 1$.

Let $d \in \{0, \dots, p - 1\}$.

Let $n \geq 0$.

Let $\mathbf{m} \in \mathbb{N}^k$ with $\text{total } \mathbf{m} = pn + d - i$.

Define $j = n - \text{total}[\mathbf{m}/p]$.

Then

$$\nu_p(\text{mult } \mathbf{m}) + \nu_p\left(\frac{(pn + d)!}{(pn + d - i)!}\right) = \nu_p(\text{mult}[\mathbf{m}/p]) + \nu_p\left(\frac{n!}{(n - j)!}\right) + j.$$

Sketch of proof

Fix $0 \leq i \leq k - 1$, $d \in \{0, \dots, p - 1\}$, and $\alpha \geq 0$. The map

$$\beta(\mathbf{m}) := (\lfloor \mathbf{m}/p \rfloor, \mathbf{m} \bmod p)$$

is a bijection from

$$A = \left\{ \mathbf{m} \in \mathbb{N}^k : \text{total } \mathbf{m} = pn + d - i \text{ and } \nu_p(\text{mult } \mathbf{m}) + \nu_p\left(\frac{(pn+d)!}{(pn+d-i)!}\right) = \alpha \right\}$$

to the set

$$B = \bigcup_{j=0}^{k-1} \left(\left\{ \mathbf{c} \in \mathbb{N}^k : \text{total } \mathbf{c} = n - j \text{ and } \nu_p(\text{mult } \mathbf{c}) + \nu_p\left(\frac{n!}{(n-j)!}\right) + j = \alpha \right\} \times \left\{ \mathbf{d} \in \{0, \dots, p-1\}^k : \text{total } \mathbf{d} = pj + d - i \right\} \right).$$

The lemma implies that if $\mathbf{m} \in A$ then $\beta(\mathbf{m}) \in B$.

Bijection example

$$k = 2, p = 2, d = 0, i = 0, n = 4, \alpha = 3$$

$$\begin{aligned} A &= \{\mathbf{m} \in \mathbb{N}^2 : \text{total } \mathbf{m} = 8 \text{ and } \nu_2(\text{mult } \mathbf{m}) = 3\} \\ &= \{(1, 7), (3, 5), (5, 3), (7, 1)\} \end{aligned}$$

$$\begin{array}{ll} \text{mult}(1, 7) = 8 & (1, 7) = 2(0, 3) + (1, 1) \\ \text{mult}(3, 5) = 56 & (3, 5) = 2(1, 2) + (1, 1) \end{array}$$

$j = 0$:

$$\begin{aligned} &\{\mathbf{c} \in \mathbb{N}^2 : \text{total } \mathbf{c} = 4 \text{ and } \nu_2(\text{mult } \mathbf{c}) = 3\} \times \{\mathbf{d} \in \{0, 1\}^2 : \text{total } \mathbf{d} = 0\} \\ &= \{\} \times \{(0, 0)\} \end{aligned}$$

$j = 1$:

$$\begin{aligned} &\{\mathbf{c} \in \mathbb{N}^2 : \text{total } \mathbf{c} = 3 \text{ and } \nu_2(\text{mult } \mathbf{c}) = 0\} \times \{\mathbf{d} \in \{0, 1\}^2 : \text{total } \mathbf{d} = 2\} \\ &= \{(0, 3), (1, 2), (2, 1), (3, 0)\} \times \{(1, 1)\} \end{aligned}$$

Unexplored territory

Do generalizations of binomial coefficients have analogous products?

- Fibonomial coefficients
- q -binomial coefficients
- Carlitz binomial coefficients
- other hypergeometric terms
- coefficients in other rational series

$$\binom{n}{m} = \frac{n!}{m!(n-m)!}$$
$$\binom{n+m}{m} = [x^n y^m] \frac{1}{1-x-y}$$