

# Distinguished equivalence classes of words in $F_2$

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January 9, 2013

- 1 Whitehead's theorem
- 2 Minimal words and root words
- 3 Structure of equivalence classes

# Notation

- $L_2 = \{a, b, \bar{a}, \bar{b}\}$ , where  $\bar{a} = a^{-1}$  and  $\bar{b} = b^{-1}$ .
- The free group on two generators:  
$$F_2 = \langle a, b \rangle = \{w_1 \cdots w_\ell \in L_2^* : w_i \neq w_{i+1}^{-1} \text{ for } 1 \leq i \leq \ell - 1\}$$
$$= \{\epsilon, a, b, \bar{a}, \bar{b}, aa, ab, a\bar{b}, ba, bb, b\bar{a}, \bar{a}b, \bar{a}\bar{a}, \bar{a}\bar{b}, \bar{b}a, \bar{b}\bar{a}, \bar{b}\bar{b}, \dots\}.$$

## Definition

A word  $w \in F_2$  is **minimal** if  $|w| \leq |\phi(w)|$  for all  $\phi \in \text{Aut } F_2$ .

## Example

Let  $\pi(a) = b, \pi(b) = \bar{a}$ .

$\pi$  extends to an automorphism of  $F_2$ :  $\pi^{-1}(a) = \bar{b}$  and  $\pi^{-1}(b) = a$ .

A **permutation** is an automorphism that permutes  $L_2$ .

# One-letter automorphisms

## Definition

A **one-letter automorphism** of  $F_2$  is an automorphism which maps  $x \mapsto x$  and  $y \mapsto yx$  for some  $x, y \in L_2$  with  $y \notin \{x, \bar{x}\}$ .

## Example

Let  $\phi(a) = a, \phi(b) = ba$ .

Then  $\phi^{-1}(a) = a, \phi^{-1}(b) = b\bar{a}$ .

We have  $\phi(a\bar{b}) = a\bar{a}\bar{b} = \bar{b}$ , so  $a\bar{b}$  is not minimal.

# Whitehead's theorem

We write  $w \sim v$  if  $\phi(w) = v$  for some automorphism  $\phi$ .

## Theorem (Whitehead, 1936)

*If  $w, v \in F_2$  such that  $w \sim v$  and  $v$  is minimal, then there exists a sequence  $\phi_1, \phi_2, \dots, \phi_m$  of one-letter automorphisms such that*

- *$\phi_m \cdots \phi_2 \phi_1(w)$  and  $v$  differ only by a permutation and an inner automorphism and*
- *for  $0 \leq k \leq m - 1$ ,  $|\phi_{k+1} \phi_k \cdots \phi_2 \phi_1(w)| < |\phi_k \cdots \phi_2 \phi_1(w)|$ .*

The set of one-letter automorphisms is finite.

## Corollary

*There is an algorithm for determining whether  $w \in F_2$  is minimal.*

*There is an algorithm for determining whether  $w, v \in F_2$  are equivalent.*

- Myasnikov and Shpilrain (2003): The number of minimal words equivalent to minimal  $w \in F_2$  is bounded by a polynomial in  $|w|$ .
- Lee (2006): The same is true for minimal  $w \in F_n$  under a local condition on  $w$ .

These results imply upper bounds on the time required to determine whether  $w, v \in F_n$  are equivalent.

# Computing equivalence classes

## Corollary

*There is an algorithm, given  $n$ , for computing representatives of all equivalence classes of  $F_2$  that contain some word of length  $\leq n$ .*

We computed all classes containing a word of length  $\leq 20$ .

Represent a class  $W$  by its lexicographically least minimal word.

## Example

$W = \{aa, bb, \overline{aa}, \overline{bb}, \overline{abab}, \overline{abb\overline{a}}, \overline{ab\overline{ab}}, \overline{abb\overline{a}}, \overline{baab}, \overline{baba}, \overline{b\overline{a}b\overline{a}}, \overline{b\overline{a}a\overline{b}}, \overline{abba}, \overline{ab\overline{ab}}, \overline{ab\overline{ab}}, \overline{abba}, \overline{baab}, \overline{baba}, \overline{b\overline{a}a\overline{b}}, \overline{b\overline{a}b\overline{a}}, \overline{aabaab}, \dots\}$ .  
The minimal words in  $W$  are  $\{aa, bb, \overline{aa}, \overline{bb}\}$ , and the lex least is  $aa$ .

# Equivalence classes

0.1	$\epsilon$
1.1	$a$
2.1	$aa$
3.1	$aaa$
4.1	$aaaa$
4.2	$ab\bar{a}\bar{b}$
4.3	$aabb$
5.1	$aaaaa$
5.2	$aabab$
5.3	$aab\bar{a}\bar{b}$
5.4	$aaabb$

6.1	$aaaaaa$
6.2	$aaabab$
6.3	$aaabbb$
6.4	$aaab\bar{a}\bar{b}$
6.5	$abaab$
6.6	$aababb$
6.7	$aabbab$
6.8	$aabb\bar{a}\bar{b}$
6.9	$aba\bar{a}\bar{b}$
6.10	$aaaabb$
7.1	$aaaaaaa$
7.2	$aaaabab$
7.3	$aaaabbb$

7.4	$aaaab\bar{a}\bar{b}$
7.5	$aaabaab$
7.6	$aaababb$
7.7	$aaabbab$
7.8	$aaabb\bar{a}\bar{b}$
7.9	$aaabb\bar{a}\bar{b}$
7.10	$aaab\bar{a}\bar{b}\bar{b}$
7.11	$aaaba\bar{a}\bar{b}$
7.12	$aaab\bar{a}\bar{b}\bar{b}$
7.13	$aba\bar{a}\bar{a}\bar{b}\bar{b}$
7.14	$aabbabb$
7.15	$aabb\bar{a}\bar{a}\bar{b}$
7.16	$aaaaabb$



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# Characterization of minimal words

## Definition

Let  $(v)_w$  denote the number of (possibly overlapping) occurrences of  $v$  and  $v^{-1}$  in the cyclic word  $w$ .

## Example

Let  $w = aabb\bar{a}b\bar{a}b\bar{a}$ . The length-2 subword counts are  $(aa)_w = 2$ ,  $(bb)_w = 1$ ,  $(ab)_w = 1 = (ba)_w$ , and  $(a\bar{b})_w = 2 = (\bar{b}a)_w$ .

## Theorem

$w$  is minimal if and only if  $|(ab)_w - (a\bar{b})_w| \leq \min((aa)_w, (bb)_w)$ .

# Representatives of an equivalence class

It is easy to recognize equivalence under

- a permutation ( $abab \sim \bar{a}b\bar{a}b \sim baba \sim \dots$ ).
- an inner automorphism ( $abab \sim baba$ ).

# Equivalence classes

0.1	$\epsilon$
1.1	$a$
2.1	$aa$
3.1	$aaa$
4.1	$aaaa$
4.2	$ab\bar{a}\bar{b}$
4.3	$aabb$ $ab\bar{a}\bar{b}$
5.1	$aaaaa$
5.2	$aabab$
5.3	$aab\bar{a}\bar{b}$
5.4	$aaabb$ $aab\bar{a}\bar{b}$

6.1	$aaaaaa$
6.2	$aaabab$
6.3	$aaabbb$
6.4	$aaab\bar{a}\bar{b}$
6.5	$aaabaab$
6.6	$aababb$
6.7	$aabbab$
6.8	$aabb\bar{a}\bar{b}$
6.9	$aba\bar{a}\bar{b}$
6.10	$aaaabb$ $aaab\bar{a}\bar{b}$ $aba\bar{a}\bar{b}$
7.1	$aaaaaaa$
7.2	$aaaabab$
7.3	$aaaabbb$

7.4	$aaaab\bar{a}\bar{b}$
7.5	$aaabaab$
7.6	$aaababb$
7.7	$aaabbab$
7.8	$aaabb\bar{a}\bar{b}$
7.9	$aaabb\bar{a}\bar{b}$
7.10	$aaab\bar{a}\bar{b}\bar{b}$
7.11	$aaabaab$
7.12	$aaab\bar{a}\bar{b}\bar{b}$
7.13	$aabaabb$
7.14	$aabbabb$
7.15	$aabb\bar{a}\bar{a}\bar{b}$
7.16	$aaaaabb$ $aaaab\bar{a}\bar{b}$ $aaaba\bar{a}\bar{b}$

# Equivalence classes

0.1	$\epsilon$
1.1	$a$
2.1	$aa$
3.1	$aaa$
4.1	$aaaa$
4.2	$ab\bar{a}\bar{b}$
4.3	$aabb$ $ab\bar{a}\bar{b}$
5.1	$aaaaa$
5.2	$aabab$
5.3	$aab\bar{a}\bar{b}$
5.4	$aaabb$ $aab\bar{a}\bar{b}$

6.1	$aaaaaa$
6.2	$aaabab$
6.3	$aaabbb$
6.4	$aaab\bar{a}\bar{b}$
6.5	$aabaab$
6.6	$aababb$
6.7	$aabbab$
6.8	$aabb\bar{a}\bar{b}$
6.9	$aba\bar{a}\bar{b}$
6.10	$aaaabb$ $aaab\bar{a}\bar{b}$ $aba\bar{a}\bar{b}$
7.1	$aaaaaaa$
7.2	$aaaabab$
7.3	$aaaabbb$

7.4	$aaaab\bar{a}\bar{b}$
7.5	$aaabaab$
7.6	$aaababb$
7.7	$aaabbab$
7.8	$aaabb\bar{a}\bar{b}$
7.9	$aaabb\bar{a}\bar{b}$
7.10	$aaab\bar{a}\bar{b}\bar{b}$
7.11	$aaabaab$
7.12	$aaab\bar{a}\bar{b}\bar{b}$
7.13	$aabaabb$
7.14	$aabbabb$
7.15	$aabb\bar{a}\bar{a}\bar{b}$
7.16	$aaaaabb$ $aaaab\bar{a}\bar{b}$ $aaab\bar{a}\bar{a}\bar{b}$

## Definition

A **child** of  $w \neq \epsilon$  is a word obtained by duplicating a letter in  $w$ . Also define the words  $a, \bar{a}, b, \bar{b}$  to be children of  $\epsilon$ .

## Example

The children of  $aabb$  are  $aaabb$  and  $aabbb$ .

A child of a minimal word  $w$  is necessarily minimal, since

$$|(ab)_w - (a\bar{b})_w| \leq \min((aa)_w, (bb)_w).$$

## Definition

A **root word** is a minimal word that is not a child of any minimal word.

Root words are new minimal words with respect to duplicating a letter.

## Example

The minimal word  $aabb$  is a root word, since neither of its parents  $abb$  and  $aab$  is minimal.

# Characterization of root words

Root words refine the notion of minimal words.

## Theorem

*w* is a root word if and only if  $|(ab)_w - (a\bar{b})_w| = (aa)_w = (bb)_w$ .

## Proof.

Recall: *w* is minimal if and only if  $|(ab)_w - (a\bar{b})_w| \leq \min((aa)_w, (bb)_w)$ .  
A minimal word *w* is a root word if and only if replacing any *xx* by *x* in *w* produces a non-minimal word. □



# Properties of root words

## Theorem

*If  $w$  is a root word, then  $|w|$  is divisible by 4.*

The property of being a root word is preserved under automorphisms.

## Theorem

*If  $w$  is a root word,  $w \sim v$ , and  $|w| = |v|$ , then  $v$  is a root word.*

A class  $W$  whose minimal words are root words is a **root class**.

# Root classes

0.1	$\epsilon$
1.1	$a$
2.1	$aa$
3.1	$aaa$
4.1	$aaaa$
4.2	$ab\bar{a}\bar{b}$
4.3	$aabb$ $ab\bar{a}\bar{b}$
5.1	$aaaaa$
5.2	$aabab$
5.3	$aab\bar{a}\bar{b}$
5.4	$aaabb$ $aab\bar{a}\bar{b}$

6.1	$aaaaaa$
6.2	$aaabab$
6.3	$aaabbb$
6.4	$aaab\bar{a}\bar{b}$
6.5	$abaab$
6.6	$aababb$
6.7	$aabbab$
6.8	$aabb\bar{a}\bar{b}$
6.9	$aba\bar{a}\bar{b}$
6.10	$aaaabb$ $aaab\bar{a}\bar{b}$ $aba\bar{a}\bar{b}$
7.1	$aaaaaaa$
7.2	$aaaabab$
7.3	$aaaabbb$

7.4	$aaaab\bar{a}\bar{b}$
7.5	$aaabaab$
7.6	$aaababb$
7.7	$aaabbab$
7.8	$aaabb\bar{a}\bar{b}$
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7.11	$aaabaab$
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# Equivalence class graph

Khan (2004) gave a structural description of equivalence classes of  $F_2$ .

A shorter classification distinguishes non-root from root classes.

Let  $\Gamma(W)$  be a directed graph whose vertices are the minimal words of  $W$  up to permutations and inner automorphisms.

We draw an edge  $w \rightarrow v$  for each one-letter automorphism  $\phi$  such that  $\phi(w)$  and  $v$  differ only by a permutation and an inner automorphism.

## Example

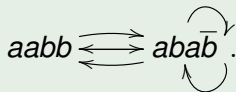
Consider the equivalence class  $W$  containing  $aabb$  and  $ab\bar{a}\bar{b}$ .  
Applying the 4 one-letter automorphisms to  $aabb\dots$

$$\begin{array}{ll} a \mapsto ab : & ababbb \\ a \mapsto a\bar{b} : & a\bar{b}ab \\ b \mapsto ba : & aababa \\ b \mapsto b\bar{a} : & ab\bar{a}\bar{b} \end{array}$$

Applying the 4 one-letter automorphisms to  $ab\bar{a}\bar{b}\dots$

$$\begin{array}{ll} a \mapsto ab : & abba \\ a \mapsto a\bar{b} : & a\bar{a}\bar{b} \\ b \mapsto ba : & ab\bar{a}\bar{b} \\ b \mapsto b\bar{a} : & ab\bar{a}\bar{b} \end{array}$$

Therefore  $\Gamma(W)$  is



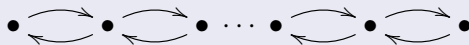
By Whitehead's theorem,  $\Gamma(W)$  is connected.

# Structure of non-root classes

## Theorem

Let  $W$  be a non-root class. Then  $\Gamma(W)$  has one of the following forms.

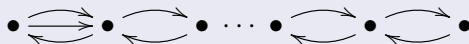
(i)



(ii)



(iii)



# Structure of root classes

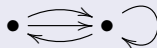
## Theorem

Let  $W$  be a root class with no alternating minimal word.  
Then  $\Gamma(W)$  is one of the following graphs.

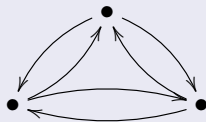
(i)



(ii)



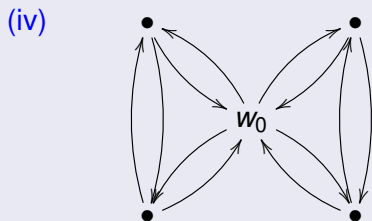
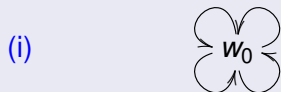
(iii)



# Structure of root classes

## Theorem

Let  $W$  be a root class containing an alternating minimal word  $w_0$ . Then  $\Gamma(W)$  is one of the following graphs.





# Conclusion

Moreover, each of these forms occurs.

- Non-root classes have simple structure.
- If  $W$  is a root class,  $\Gamma(W)$  is one of seven graphs.

## Corollary

*If  $W$  is a root class, then  $\Gamma(W)$  has 1, 2, 3, or 5 vertices.*

Future work:

Understand descendance on the level of equivalence classes.

Can equivalence classes be enumerated?